

Framework for Monitoring and Reporting

Pamela O'Brien

New UCEDD Director Orientation

July 10, 2013

Framework for Monitoring and Reporting

- The FY 2012 UCEDD PPR is due July 30, 2013.
- This year, AIDD will be piloting a new review tool for the UCEDD PPR. The new review tool is based on the work being done as part of the MTARS streamlining project.

Framework for Monitoring and Reporting

Last year, AIDD initiated an effort to review and revise its monitoring process known as MTARS





Framework for Monitoring and Reporting

As a result of Phase 1, AIDD has developed and is testing in 2013 a revised model for monitoring its grantees. This rebranded MTARS – is currently known as the Quality Review System (QRS)

Framework for Monitoring and Reporting

- The new Quality Review System model is based on tiered system:
 - *Tier 1: Annual* compliance and outcome review of PPRs/Continuation Applications

Monitoring and Reporting

- *Tier 2: Periodic in-depth desk audits* of compliance and an outcome review conducted by one federal staff person and two peers, similar to the current MTARS team structure.
- *Tier 3: On-site review* for **red flag** programs conducted by federal staff and peers as needed.

Monitoring and Reporting

UCEDD QRS TIER 1

PPR Review Tool

In Collaboration with
the **MTARS**
Streamlining
Workgroup

Directors and Staff

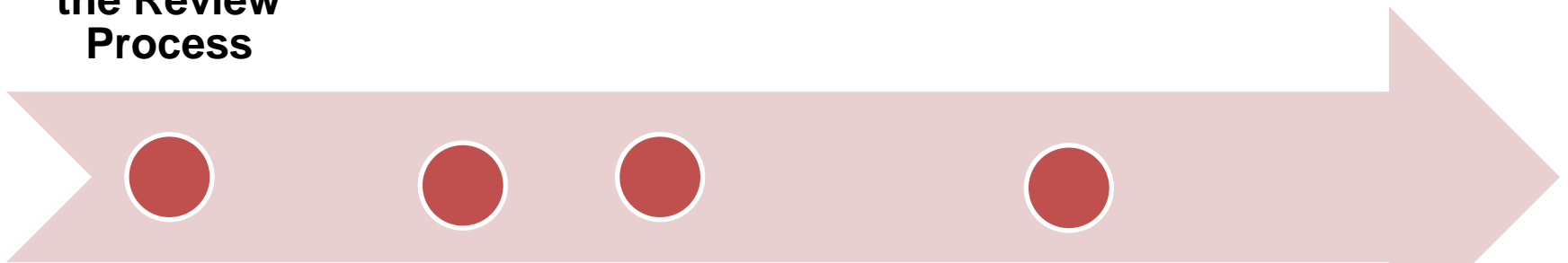
George Jeisen, Dawn
Rudolph, Anthony
Antosh , Harold
Klienert, Karen Irick,
Mette Pedersen
Jennifer Johnson, Suad
Jama,
Rita Stevens, Pamela
O'Brien

**AUCD,
UCEDDs
and AIDD**

Framework for Monitoring and Reporting

UCEDD QRS TIER 1 REVIEW TOOL PURPOSE

To
Streamline
the Review
Process



of Annual
Reports
by
federal
staff

By producing a Review Tool that
crosswalks the Federal Law,
Developmental Disabilities Assistance
and Bill of Rights Act of 2000 to the
Requirements outlined in the Annual
Report

Framework for Monitoring and Reporting

PPR REVIEW TOOL

GOALS

- Improve compliance and outcome analysis.
- Increase capacity for early ID of outliers and concerns regarding compliance.
- Strengthen AIDD's responsiveness to the UCEDD's challenges and needs.
- Enhance transparency between AIDD and the UCEDD in regards to how PPRs are reviewed.
- Heighten UCEDD contributions by reducing the reporting burden.
- Pilot tool to gather baseline information for a more meaningful review of the annual PPR.

Monitoring and Reporting

UCEDD QRS TIER 1

PPR REVIEW TOOL

MTARS Streamlining Workgroup assisted with identifying what would be reviewed in the PPR Tool –

- **Part 1. Compliance Analysis**
- **Part 2. Output and Outcome Analysis**


Each Part

- Lists citations from DD Act and program regulation requirements related to the UCEDD Programs
- Followed by Review (*Y/N*) *prompt questions which also provide the basis for the AIDD Guidance in the Annual Report.*



Framework for Monitoring and Reporting

You are welcome to use this review tool along with the guidance in the UCEDD PPR template when preparing your report to ensure you are including the kind of information staff will look for in your report.



Framework for Monitoring and Reporting

Next steps – once we complete the pilot of the PPR review tool, we will evaluate the process and the tool to identify where adjustments are needed, and to make the necessary changes.

Monitoring and Reporting

MTARS Streamlining – Phase 2

- Formed a workgroup of grantees, organizational representatives, and AIDD staff to finalize the revised model.
- Currently working in program teams (DDC, UCEDD, P&A) to develop a framework and the procedures for Tier 1 and Tier 2 review processes.

Monitoring and Reporting

MTARS Streamlining – Phase 2

- Program teams are developing the review tools:
 - Conducting analyses of the DD Act and program regulation requirements and current PPRs and Plan templates for items related to compliance that can be reviewed on an annual basis.
 - Identifying items that need to be added to templates.

Monitoring and Reporting

MTARS Streamlining – Phase 2

- Developing procedures for annual and periodic outcomes reviews
- Determining how organizational reviews may be conducted
- Developing the criteria for reviewing the programs in Tier 1 and Tier 2, using existing tools, such as the NDRN standards, as much as possible.

Monitoring and Reporting

MTARS Streamlining – Phase 2

- Other activities:
 - Explore having a data management system
 - Conduct pilots in 2013
 - Revise the guidance
 - Continue to roll-out information to grantees
 - Conduct training as needed
 - Determine resources (staffing and funding)



New Cross Program Measure

- AIDD is exploring creating “super measures” for the DD Network programs
- These measures could replace the program specific GPRA measures in the PPRs

Administration on Intellectual and Developmental Disabilities

*Administration for Community Living
U.S. Department of Health and Human Services*

One Massachusetts Avenue, NW

Washington, DC 20001

(p) 202-205-7989

(f) 202-205-8037

AIDD website: <http://transition.acf.hhs.gov/programs/add>

ACL website: <http://www.hhs.gov/acl>